



UNIQUE MARKET

REFERENCE: B0180FN2416820

TYPE: Professional Indemnity and Crime Insurance

INSURED: CXM Direct LLC, CXM Trading LLC, CXM Global & CXM Prime (UK)

PRINCIPAL ADDRESS: The Financial Services Centre at Stoney Ground,

Kingstown, VC0100,

St. Vincent & the Grenadine

FORM: Markel Fintech 1022

PERIOD: From: 05 April 2024 00:00:00

To: 04 April 2025 23:59:59 (both days inclusive) Local Standard Time at the Principal Address stated herein

INTEREST: Professional Indemnity and Crime Insurance for FX and CFD brokerage

activities, and as more fully detailed in the policy wording

LIMITS OF LIABILITY: Coverage Clause 1: Professional Liability -

USD 5,000,000 in the aggregate, **Costs and Expenses** inclusive but;

USD 1,000,000 in the aggregate, Costs and Expenses inclusive in respect of

CXM Global and CXM Prime (UK)

Coverage Clause 3: Crime -

USD 500,000 in the aggregate, Costs and Expenses inclusive

TOTAL LIMIT OF

LIABILITY: Insured's combined annual aggregate Limit of Liability for Coverage Clauses

1 and 3 is USD 5,000,000

INSURER: 100% Markel via their Lloyd's Syndicate MKL 3000.

UNINSURED EXCESS: Coverage Clause 1: Professional Liability -

USD 150,000 each and every claim, including Costs and Expenses inclusive in

respect of CXM Direct LLC and CXM Trading LLC but;

USD 100,000 each and every claim, including Costs and Expenses in respect

of CXM Global and CXM Prime (UK)

Coverage Clause 3: Crime -

USD 150,000 each and every claim, including Costs and Expenses in respect

of CXM Direct LLC and CXM Trading LLC but;

USD 100,000 each and every claim, including Costs and Expenses in respect

of CXM Global and CXM Prime(UK)







COVER SUMMARY Continued

TERRITORIAL LIMITS: Worldwide, excluding the USA and Canada

JURISDICTION LIMITS: Worldwide, excluding the USA and Canada

CHOICE OF LAW Any interpretation of this policy relating to its construction, validity or operation shall be made in accordance with the laws of England and Wales

RETROACTIVE DATE: 19 February 2021; 22 April 2021 in respect of CXM Global Ltd.

PRIOR AND PENDING

LITIGATION DATE: 19 February 2021

Signed:/.../...

Dated: 13th June 2024

Director Name: Patrick Dykes

Title: Associate Director, Asset Management, Financial Lines Group at Howden Group

