

UNIQUE MARKET REFERENCE:	B0180FN2416820
TYPE:	Professional Indemnity and Crime Insurance
INSURED:	CXM Direct LLC
PRINCIPAL ADDRESS:	The Financial Services Centre at Stoney Ground, Kingstown, VC0100, St. Vincent & the Grenadine
FORM:	Markel Fintech 1022
PERIOD:	From: 05 April 2024 00:00:00 To: 19 <sup>th</sup> May 2025 23:59:59 (both days inclusive) Local Standard Time at the Principal Address stated herein
INTEREST:	Professional Indemnity and Crime Insurance for FX and CFD brokerage activities, and as more fully detailed in the policy wording
LIMITS OF LIABILITY:	<b>Coverage Clause 1: Professional Liability -</b> USD 5,000,000 in the aggregate, <b>Costs and Expenses</b> inclusive but;  <b>Coverage Clause 3: Crime -</b> USD 500,000 in the aggregate, <b>Costs and Expenses</b> inclusive
TOTAL LIMIT OF LIABILITY:	<b>Insured's</b> combined annual aggregate <b>Limit of Liability</b> for Coverage Clauses 1 and 3 is USD 5,000,000
INSURER:	100% Markel via their Lloyd's Syndicate MKL 3000.
UNINSURED EXCESS:	<b>Coverage Clause 1: Professional Liability -</b> USD 150,000 each and every claim, including <b>Costs and Expenses</b> inclusive in respect of CXM Direct LLC  <b>Coverage Clause 3: Crime -</b> USD 150,000 each and every claim, including <b>Costs and Expenses</b> in respect of CXM Direct LLC

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**COVER SUMMARY Continued**

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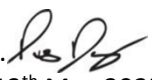
**TERRITORIAL LIMITS:** Worldwide, excluding the USA and Canada

**JURISDICTION LIMITS:** Worldwide, excluding the USA and Canada

**CHOICE OF LAW  
& JURISDICTION:** Any interpretation of this policy relating to its construction, validity or operation shall be made in accordance with the laws of England and Wales

**RETROACTIVE DATE:** 19 February 2021

**PRIOR AND PENDING  
LITIGATION DATE:** 19 February 2021

Signed:   
Dated: 13<sup>th</sup> May 2025  
Director Name: Piers Davis  
Title: Managing Director